

FY2022 Annual Review Narrative Survey

Unit: Office of Research

Section 1: Programmatic & Academic Vitality Narratives

- 1) Have you made any changes to your unit's strategic plan and/or budget process in the past year? If yes, what are 3-5 key elements of your unit's new/revised strategic plan and/or budget process? If no, please comment on the progress you've made in 3-5 key areas of your strategic plan.**

Research administration units in the Office of Research (OR) include the Office of Sponsored Programs (OSP), Human Subjects Division (HSD), the Office of Animal Welfare (OAW – as of 2/1/21), Office of Research – Central (ORC) and the Office of Research Information Services (ORIS).

General research administration goals are to add value to the research experience, achieve operational excellence, attract and retain top and diverse staff, and add value to the UW.

Key FY20 Stats

- 5,895 competing proposals submitted and no missed deadlines (~\$5B requested)
- 5,508 awards processed (~\$1.6B in research awards)
- 1,009 Non-Award Agreements
- 7,381 Administrative Actions
- 1,184 unique sponsors
- 7,317 IRB items (initial applications, mods, etc)
- 1,192 faculty outside work requests
- 1,794 CORE course attendees (research administration trainings)
- 19,758 financial interest disclosures
- 1,507 IACUC items (new protocols, amendments, and triennial reviews) with improved turnaround times
- 322 facilities inspections performed (OAW)

Key research administration projects

- Integrating the Primate Center (PC) and OAW into the OR: institutional official duties, new reporting lines (HR and financial), new financial tools, FT preparation, and financial restructuring plans where appropriate.
- Enhanced online training content and converted in-person training courses into online seminars. OAW was able to continue to provide hands-on training.
- Successfully carried out the core mission of the OR while most of the workforce pivoted to remote work.
- OSP and HSD developed processes to identify, approve, and track COVID-related research.
- HSD assisted COVID researchers with novel regulatory and jurisdictional issues, facilitating both COVID research and UW Medicine clinical care of COVID patients.
- HSD implemented significant enhancements to business systems converting remaining paper processes to electronic processes, establishing a formal quality assurance program for Zipline and compliance data and creating a dozen new sophisticated target metrics/reports for process improvements.

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- OSP and ORIS continued to devote effort and expertise to the UWFT project, serving on multiple process transformation, integration, and reporting groups.
- ORIS implemented new systems and upgrades to electronic systems including compliance systems (Animal Ops, OAW, and HSD), MyResearch Portal, and the SAGE Budget module to integrate with Federal systems.

Primate Center Goals

- Advance scientific knowledge and apply discoveries to curing diseases.
- Provide training for the next generation of scientists and professionals.
- Provide a national resource and an exemplary environment for conducting research using nonhuman primates.
- Provide a national resource for domestic, captive bred, SPF macaques.

Revised Applied Physics Lab Goals

- Increase APL's Collaboration with NW partners (local Navy organizations, PNNL, etc.) to meet the nation's growing defense needs.
 - PISCES Initiative—Develop and combine technologies in energy harvesting, alternative energy production, energy storage, and environmental sensing.
- Engage APL leadership, researchers, and staff on enhancing DEI across the lab.
 - DEI initiatives, such as a mentoring, undergraduate internship, and SEED postdoctoral scholar program, have been established and funded with the goal of carrying out the lab's mission and enabling the lab to attract and retain the best talent.
- Improve lab infrastructure.
 - Update existing infrastructure, including the APL Dock, to meet safety, security, and research requirements.